

Lyon & Lyon LLP
Docket Information
239/029

DECLARATION Utility Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled GUIDEWIRE FILTER AND METHODS OF USE the specification of which

(Check One) ☒ is attached hereto OR
☐ was filed on _____ as United States Application Serial No. Not yet assigned or PCT International Application No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Date of Filing	Priority Claimed	
			Yes	No

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date	Status-Patented, Pending or Abandoned

Send Correspondence to: John Kappos	LYON & LYON LLP 633 W Fifth St., Suite 4700 Los Angeles, CA 90071	Direct Telephone calls to: (714) 751-6606
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	FULL NAME OF INVENTOR	FIRST Name ROSS	MIDDLE Initial	LAST Name TSUGITA	
201	RESIDENCE & CITIZENSHIP	City Mountain View	State or Foreign Country California	Country of Citizenship USA	
	POST OFFICE ADDRESS	1653 Gretel Lane	City Mountain View	State or Country CA	Zip Code 94040
	FULL NAME OF INVENTOR	FIRST Name JEAN	MIDDLE Initial	LAST Name CHANG	
202	RESIDENCE & CITIZENSHIP	City Mountain View	State or Foreign Country California	Country of Citizenship USA	
	POST OFFICE ADDRESS	645 Clyde Avenue	City Mountain View	State or Country CA	Zip Code 94043
	FULL NAME OF INVENTOR	FIRST Name	MIDDLE Initial	LAST Name	
203	RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citizenship	
	POST OFFICE ADDRESS		City	State or Country	Zip Code
	FULL NAME OF INVENTOR	FIRST Name	MIDDLE Initial	LAST Name	
204	RESIDENCE & CITIZENSHIP	City	State or Foreign Country	Country of Citizenship	
	POST OFFICE ADDRESS		City	State or Country	Zip Code

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor	201
Date	10/28/99
Signature of Inventor	202
Date	12/21/99
Signature of Inventor	203
Date	

Signature of Inventor	204
Date	
Signature of Inventor	205
Date	
Signature of Inventor	206
Date	

(Signatures should conform to names as presented at 201 et seq. above.)


Patent
239/029**POWER OF ATTORNEY
By Assignee**EMBOL-X, Inc., assignee(s) of the application for United States Letters Patent for an improvement in GUIDEWIRE FILTER AND METHODS OF USE by Ross Tsugita and Jean Chang the specification of which is☒ filed herewith, or
☐ filed _____ having Serial No. _____

hereby appoints as its attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Roland N. Smoot, Reg. No. 18,718; Conrad R. Solum, Jr., Reg. No. 20,467; James W. Geriak, Reg. No. 20,233; Robert M. Taylor, Jr., Reg. No. 19,848; Samuel B. Stone, Reg. No. 19,297; Douglas E. Olson, Reg. No. 22,798; Robert E. Lyon, Reg. No. 24,171; Robert C. Weiss, Reg. No. 24,939; Richard E. Lyon, Jr., Reg. No. 26,300; John D. McConaghy, Reg. No. 26,773; William C. Steffin, Reg. No. 26,811; Coe A. Bloomberg, Reg. No. 26,605; J. Donald McCarthy, Reg. No. 25,119; John M. Benassi, Reg. No. 27,483; James H. Shalek, Reg. No. 29,749; Allan W. Jansen, Reg. No. 29,395; Robert W. Dickerson, Reg. No. 29,914; Roy L. Anderson, Reg. No. 30,240; David B. Murphy, Reg. No. 31,125; James C. Brooks, Reg. No. 29,898; Jeffrey M. Olson, Reg. No. 30,790; Steven D. Hemminger, Reg. No. 30,755; Jerrold B. Reilly, Reg. No. 32,293; Paul H. Meier, Reg. No. 32,274; John A. Rafter, Jr., Reg. No. 31,653; Kenneth H. Ohriner, Reg. No. 31,646; Mary S. Consalvi, Reg. No. 32,212; Lois M. Kwasigroch, Reg. No. 35,579; Lawrence R. LaPorte, Reg. No. 38,948; Robert C. Laurensen, Reg. No. 34,206; Carol A. Schneider, Reg. No. 34,923; Hope E. Melville, Reg. No. 34,874; Michael J. Wise, Reg. No. 34,047; Richard J. Warburg, Reg. No. 32,327; Kurt T. Mulville, Reg. No. 37,194; Theodore S. Maceiko, Reg. No. 35,593; Bruce G. Chapman, Reg. No. 33,846; and F. T. Alexandra Mahaney, Reg. No. 37,668; Stephen S. Komiczky, Reg. No. 34,853; James P. Brogan, Reg. No. 35,833; David A. Randall, Reg. No. 37,217; Christopher A. Vanderlaan, Reg. No. 37,747; and John Kappos, Reg. No. 37,861, and Jon Hallman, Reg. No. 42, 622.

Send Correspondence to:
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(714) 751-6606

I, the undersigned, declare that I have reviewed copies of the documentary evidence establishing chain of title to the patent application identified above from the inventor(s) to the assignee(s) which is filed for recordation herewith. To the best of the undersigned's knowledge and belief, title is in the assignee(s) identified above. Furthermore, the undersigned is empowered to sign this document on behalf of the assignee(s).

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Assignee: EMBOL-X, INC.	
Post Office Address 645 Clyde Avenue, Mountain View, CA 94043-2213	
Signature of Declarant or Assignee: 	Date: 10/21/88

Full Name of Declarant	
If Other Than Assignee: Yue-Teh Jang	
Title of Declarant: President and CEO	
Address of Declarant: 645 Clyde Avenue, Mountain View, CA 94043-2213	

ASSIGNMENT

WHEREAS, EMBOL-X, Inc., a Delaware corporation with its principal offices located at 645 Clyde Avenue, Mountain View, California 94043-2213, U.S.A., owns all rights, title and interest in the following pending U.S. patent applications and issued patents as well as all patent applications claiming priority therefrom:

<u>Appln. Serial No./</u> <u>Patent No.</u>	<u>Filing Date/</u> <u>Issue Date</u>	<u>Title of Application/</u> <u>Patent</u>
09/335,849	June 15, 1999	Guiding Catheter for Positioning a Medical Device Within an Artery
09/366,192	August 3, 1999	Guided Filter with Support Wire and Methods of Use
09/369,052	August 4, 1999	Filter Flush System and Methods of Use
09/369,060	August 4, 1999	Percutaneous Catheter and Guidewire for Filtering During Ablation of Myocardial or Vascular Tissue
09/421,138	October 19, 1999	Percutaneous Catheter and Guidewire Having Filter and Medical Device Deployment Capabilities
09/440,204	November 15, 1999	Guidewire Filter and Methods of Use
09/453,659	December 3, 1999	Distal Protection Device and Method
09/455,013	December 3, 1999	Guidewire Having Filter and Medical Device Deployment Capabilities
09/470,026	December 22, 1999	Endoluminal Occlusion-Irrigation Catheter with Aspiration Capabilities and Methods of Use
09/481,774	January 11, 2000	Guidewire Having Filter and Medical Device Deployment Capabilities
09/560,360	April 28, 2000	Guidewire Filter and Methods of Use
5,662,671	September 2, 1997	Atherectomy Device Having Trapping and Excising Means for Removal of Plaque From the Aorta and Other Arteries
5,895,399	April 20, 1999	Atherectomy Device Having Trapping and Excising Means for Removal of Plaque From the Aorta and Other Arteries
5,910,154	June 8, 1999	Percutaneous Catheter and Guidewire Having Filter and Medical Device Deployment
5,911,734	June 15, 1999	Percutaneous Catheter and Guidewire Having Filter and Medical Device Deployment Capabilities
5,993,469	November 30, 1999	Guiding Catheter for Positioning a Medical Device Within an Artery
5,997,557	December 7, 1999	Method for Aortic Atherectomy
6,010,522	January 4, 2000	Atherectomy Device Having Trapping and Excising Means for Removal of Plaque From the Aorta and Other Arteries
6,027,520	February 22, 2000	Percutaneous Catheter and Guidewire Having Filter and Medical Device Deployment Capabilities;

WHEREAS, SciMed Life Systems, Inc. is a Minnesota corporation with its principal address at One SciMed Place, Maple Grove, Minnesota 55311-1566, U.S.A.;

NOW, THEREFORE, to all whom it may concern, be it known that pursuant to the parties' prior agreement and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, EMBOL-X, Inc., has assigned and transferred, and by this instrument does assign and transfer unto said SciMed Life Systems, Inc., its successors or assigns, the entire right, title and interest, for all countries, in and to all inventions and improvements disclosed in the aforesaid applications and patents, and in and to any and all patent applications which shall be filed in all countries for said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Patents which may be granted therefrom, provided, however, that EMBOL-X, Inc. does not assign or transfer to SciMed Life Systems, Inc. U.S. Patent No. 6,042,598 and U.S. Application Serial No. 09/455,011, filed December 3, 1999, both directed to EMBOL-X, Inc.'s field of use as defined in the parties' prior agreement, and any patent or application claiming priority to U.S. Patent No. 6,042,598 or U.S. Application Serial No. 09/455,011 that is directed to EMBOL-X, Inc.'s field of use as defined in the parties' prior agreement.


The parties hereto acknowledge that they have specifically requested the present agreement to be drawn up in the English language.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 17th day of November, 2000.

EMBOL-X, INC.

By: [Signature]
Title: President & CEO

COUNTY OF Santa Clara)



EDWIN LEE
 Commission #1242346
 Notary Public - California
 Santa Clara County
 My Comm. Expires Nov 19, 2003

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